Smole PTO/SB/21 (08-00) Please type a plus sign (+) inside this box -> + Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10/084.291 **Application Number** TRANSMITTAL **Filing Date** February 27, 2002 **FORM First Named Inventor** Carson (to be used for all correspondence after initial filing) **Group Art Unit** 3737 **Examiner Name Barry Pass** 50642/270979 Total Number of Pages in This Submission Attorney Docket Number ENCLOSURES (check all that apply) After Allowance Communication to Assignment Papers Fee Transmittal Form (for an Application) Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Response (Appeal Notice, Brief, Reply Brief) Petition Proprietary Information After Final Petition to Convert to a Affidavits/declaration(s) Status Letter Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): Form PTO/SB/08 (1 sheet) Terminal Disclaimer 7 publications Express Abandonment Request Request for Refund **Return Receipt Postcard** Supplemental Information CD, Number of CD(s) Disclosure Statement Certified Copy of Priority Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Michael A. Bertelson, Reg. No. 54,713 Individual name Signature Date April 23, 2004 **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, Virginia 22313-1450 Angela M. Rossi Typed or printed name Signature

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

APR 2 6 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Christopher P. Carson

Serial No.: 10/084,291

Filed: February 27, 2002

For: SURGICAL NAGIVATION

SYSTEMS AND PROCESSES

FOR HIGH TIBIAL

OSTEOTOMY

OSTEOTOMY

Commissioner for Patents

Attorney Docket No. 50642/270979

P. O. Box 1450

Date: April 23, 2004

Alexandria, Virginia 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with Rules 56, 97 and 98 of the Rules of Practice in Patent Cases (37 C.F.R. §§ 1.56, 1.97, and 1.98), the publications listed on the enclosed Form PTO/SB/08 are submitted for consideration by the Examiner.

Submission of the references provided in this Information Disclosure is not intended to constitute an admission that any reference referred to herein is prior art for this invention unless specially designated as such. Also, in accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made, or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

U.S. Serial No. 10/084,291

Filed: February 27, 2002

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

This Information Disclosure Statement is being filed before the issuance of a first office action on the merits of the application (37 C.F.R. 1.97(b)(3)); therefore, no fee is believed to be due. If a fee is due, the Commissioner is authorized to charge such fee and any additional fees that may be due or credit any overpayment to Deposit Account No. 11-0855.

Respectfully submitted,

and that

Michael A. Bertelson

Reg. No. 54,713

KILPATRICK STOCKTON LLP Suite 2800, 1100 Peachtree Street Atlanta, Georgia 30309-4530 (404) 815-6291

APR 2 6 2004

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known 10/084,291 **Application Number** February 27, 2002 Date Filed: First Named Inventor Christopher P. CARSON 3737 **Group Art Unit** Pass, Barry **Examiner Name** 

(use as many sheets as necessary) 50642/270979 Attorney Docket Number

Sheet	1 1	of 1		Attorne	y Docket I	Number	50642	2/270979	
			U.S. P.	ATENT D	OCUME	NTS			
		Document Number	Publica	Publication Date		Name of Patentee or Applicant of Cited Document		Pages, Columns, Lines, Where Relevant	
Examine r Initials *	Cite No.1	Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY				Passages or Relevant Figures Appear		
		4,952,213		08/28/1990		Bowman, et al.			
		5,190,547	03/02/1993		Barber, Jr., et al.				
	5,395,376		03/07/1995		Caspari, et al.  Zwirkoski, et al.				
		6,200,316 B1		3/2001			ai.		
		6,558,421	05/06/2003		Fell, et al.				
			FOR	EIGN PAT	ENT DO	CUMENT	<u>s</u>		
Examiner	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)		Publication Date MM-DD-YYYY		Name of Patentee or Applicant of Cited Document		Pages, Columns, Lines, Where Relevant Passages or	
Initials*								Relevant Figures Appear	T <sup>6</sup>
		WO 01/91647 A1		12/06/2001			CT		Abstract
		DE 100 31 887 A1		01/17/2002		Geri	many		Abstract
· · · · · · · · · · · · · · · · · · ·									
•	1								
<del></del> .	.1	OTHER PRIOR A	RT NO	ON PATEN	IT LITE	RATURE I	OCUM	ENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.							of ue T²
								No. 70	
					·				
<u>-</u>									
_			···-						
					- ·	<u> </u>	-		
Examine Signatur					Date Consi	dered			

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.